

DISCIPLINARY ACTION

PRIVATE PROBATION. ODC File No. 108222-B. Effective Date: July 29, 2013

A Delaware lawyer was placed on private probation for two years for violations of the Delaware Lawyers' Rules of Professional Conduct ("Rules") in connection with his failure to maintain his law practice's books and records. The private sanction was offered by a panel of the Preliminary Review Committee ("PRC") and imposed with the consent of the lawyer. The lawyer admitted violations of **Rule 1.15(d)**, **Rule 8.4(c)** and **Rule 8.4(d)**. The Lawyers' Fund for Client Protection conducted an audit in 2012 which revealed deficiencies in the books and records. The lawyer also made misrepresentations as to the status of the books and records to the Supreme Court on his 2011 and 2012 Certificates of Compliance.